

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.aspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/823,353

04/13/2004

Phillip C. Watts

**CONFIRMATION NO. 4721** 

FORMALITIES LETTER

\*OC000000013065031\*

Date Mailed: 06/24/2004

1404 Kay Street #1 Longmont, CO 80501

Phillip C. Watts

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

• Additional claim fees of \$172 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$172 for a Small Entity

- Total additional claim fee(s) for this application is \$172
  - \$172 for 4 independent claims over 3.

#### **Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

 A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:

• does not identify the citizenship of each inventor.

08/12/2004 MAHHED1 00000054 10823353

01 FC:2201

172.00 OP

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

# P.O. Box 1450 Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



United States Patent Office

Aug 7,2004

Application Number 10/823,353

Filing Date

04/13/2004

Title of Invention Same plane multiple thermoelectric mounting system

Inventor

Phillip C. Watts Citizen of USA

## Response to Letter of 06/24/2004

- ☐ I have enclosed a check for \$172.00 to cover the 4 claims over three.
- ☐ I have enclosed a new Oath showing USA Citizenship.

Phillip (Wath Dug 7, 2004

Kind regards.

Phillip C. Watts 1404 Kay st #1

Longmont CO 80501

PTO/SB/17 (10-03)
Approved for use through 07/31/2006, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| FEE | TR/   | N   | SMI | TT | AL |
|-----|-------|-----|-----|----|----|
| 1   | for F | Y : | 200 | 4  |    |

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

| (\$) / | 7 | 2 | 00 |
|--------|---|---|----|
|--------|---|---|----|

| Complete if Known    |                  |  |  |  |
|----------------------|------------------|--|--|--|
| Application Number   | 10/823, 353      |  |  |  |
| Filing Date          | 04/13/2004       |  |  |  |
| First Named Inventor | Phillip C. WATTS |  |  |  |
| Examiner Name        |                  |  |  |  |
| Art Unit             |                  |  |  |  |
|                      | ·····            |  |  |  |

|  | FEE CALCULATION (continued) |  |  |  |
|--|-----------------------------|--|--|--|
| Check Credit card Money Other None 3. ADDITIONAL FEES  | 3. ADDITIONAL FEES          |  |  |  |
| Deposit Account:   |                             |  |  |  |
| Denosit Fee Fee Fee Fee Fee Fee Fee Fee Description  |                             |  |  |  |
| Account Code (5)   | e Paid                      |  |  |  |
| Donneit  |                             |  |  |  |
| Account Name 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet  |                             |  |  |  |
| The Director is authorized to: (check all that apply)  |                             |  |  |  |
| Charge fee(s) indicated below Credit any overpayments 1812 2,520 1812 2,520 For filing a request for ex parte reexamination  |                             |  |  |  |
| Charge any additional fee(s) or any underpayment of fee(s)  1804 920* Requesting publication of SIR prior to Examiner action   |                             |  |  |  |
| Charge fee(s) indicated below, except for the filing fee 1805 1,840* Requesting publication of SIR after Examiner action   |                             |  |  |  |
| FEE CALCULATION 1251 110 2251 55 Extension for reply within first month  |                             |  |  |  |
| 1. BASIC FILING FEE 1252 420 2252 210 Extension for reply within second month  |                             |  |  |  |
| Large Entity Small Entity 1253 950 2253 475 Extension for reply within third month   |                             |  |  |  |
| Fee Fee Fee Fee Description Fee Paid 1254 1,480 2254 740 Extension for reply within fourth month   |                             |  |  |  |
| 1001 770 2001 385 Utility filing fee 1255 2,010 2255 1,005 Extension for reply within fifth month  |                             |  |  |  |
| 1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal   |                             |  |  |  |
| 1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal  |                             |  |  |  |
| 1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing  |                             |  |  |  |
| 1005 160 2005, 80 Provisional filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding   |                             |  |  |  |
| SUBTOTAL (1) (\$) NA 1452 110 2452 55 Petition to revive - unavoidable   |                             |  |  |  |
| 1453 1,330 2453 665 Petition to revive - unintentional   |                             |  |  |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue)   |                             |  |  |  |
| Total Claims   |                             |  |  |  |
| Independent 1503 640 2503 320 Plant issue fee  |                             |  |  |  |
| Claims Multiple Dependent 1460 130 Petitions to the Commissioner   |                             |  |  |  |
| 1807 50 1807 50 Processing fee under 37 CFR 1.17(q)  |                             |  |  |  |
| Large Entity   Small Entity   Fee Fee Fee Fee Description   1806 180   1806 180 Submission of Information Disclosure Stmt  |                             |  |  |  |
| Code (\$) Code (\$)  8021 40 8021 40 Recording each patent assignment per  |                             |  |  |  |
| 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3  1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) |                             |  |  |  |
| 1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be  |                             |  |  |  |
| 1204 86 2204 43 ** Reissue independent claims examined (37 CFR 1.129(b))  1801 770 2801 385 Request for Continued Examination (RCE)                                    |                             |  |  |  |
| 1205 18 2205 9 ** Reissue claims in excess of 20 1802 900 1802 900 Request for expedited examination   |                             |  |  |  |
| and over original patent of a design application  Other fee (specify)  |                             |  |  |  |
| SUBTOTAL (2)  (\$) / /2 , (O)  |                             |  |  |  |
| **or number previously paid, if greater; For Reissues, see above **Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)  |                             |  |  |  |

| SUBMITTED BY      |                  |                                      | (Complete (i applicable)) |                 |
|-------------------|------------------|--------------------------------------|---------------------------|-----------------|
| Name (Print/Type) | Phillip C. WATTS | Registration No.<br>(Attorney/Agent) | Telepho                   | ne 303-678-9454 |
| Signature         | Phills (Watte    |                                      | Date                      | AUG 9-2004      |
|                   |                  |                                      |                           |                 |

WARNING. Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.